

Third party organisations with whom we may share data with

Purpose for processing data	Legal basis for processing data	Compliance consultants	Financial product and service providers	Financial and other software providers	Financial auditors	Insurers	Legal advisers	ARM transaction reporting service	Regulatory, UK and overseas government and law enforcement agencies	Custodians, including banks	Brokers	IM Group services
To provide you with financial planning and investment advice which may result in the recommendation of a financial product or service	To meet the requirements of a contract	X	X	X		X	X		X			X
To assist you with income and expenditure planning	To meet the requirements of a contract	X		X		X	X		X			X
To provide you with advice about tax planning, trusts, wills and inheritance tax planning	To meet the requirements of a contract	X	X	X		X	X		X			X
To conduct identity, fraud and credit checks before delivering services to you	To meet a legal obligation	X	X	X		X			X			X
To manage your investment portfolio and to carry out	To meet the requirements of a contract	X		X		X	X	X	X		X	X

transactions												
To communicate with you via email, telephone and in writing in order to update you, as necessary, about your specific financial matters	To meet the requirements of a contract	X		X					X			X
To provide customer support by the telephone – to include recording of telephone conversations for regulatory, monitoring and quality purposes	To meet the requirements of a contract To meet a legal obligation	X	X	X		X	X					X
To provide you with information about our services that may be of use to you with your explicit consent	Explicit consent											X
To provide custody and settlement services in relation to your investments and holdings	To meet the requirements of a contract To meet a legal obligation	X	X	X	X	X	X		X	X	X	
To receive and make payments to and from you in accordance with your instructions	To meet the requirements of a contract	X		X	X	X	X		X	X		X
To submit tax information on your behalf to overseas jurisdictions under their laws	To meet a legal obligation								X			